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| Form PTO-9 (Modified) | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | ATTY DOCKET NO. 196838US0PCT | | SERIAL NO. 09/623,872 | |
| LIST OF REFERENCES CITED BY APPLICANT | | | | APPLICANT Frank I. CARROLL, et al. | | | |
| | | | | FILING DATE October 17, 2000 | | GROUP 1625 | |
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| U.S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | |
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| | AU | | | | | | |
| | AV | | | | | | |
| OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.) | | | | | | | |
| CA | AW | XP-002203669, BERTHA et al., "A MARKED CHANGE OF RECEPTOR AFFINITY OF THE 2-METHYL-5-(3-HYDROXYPHENYL)MORPHANS UPON ATTACHMENT OF AN (E)-8-BENZYLIDEN MOIETY: SYNTHESIS AND EVALUATION OF A NEW CLASS OF O' RECEPTOR LIGANDS", Journal of Medicinal Chemistry, vol. 37, No. 19, 1994, pp. 3163-3170. | | | | | |
| CA | AX | XP-002203670, ONG et al., "PHENYLMORPHAN AGONISTS-ANTAGONIST", Journal of Medicinal Chemistry, vol. 17, No. 1, 1974, pp. 133-134. | | | | | |
| | AY | | | | | | |
| | AZ | | | | | <input type="checkbox"/> Additional References sheet(s) attached | |
| Examiner AWLAKH | | | | | Date Considered | | |
| *Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |



SHEET 1 OF 1

Form PTO 1400
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY DOCKET NO.

196838US0PCT

SERIAL NO.

09/623,872

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Frank I. CARROLL, et al.

FILING DATE

October 17, 2000

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
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| CA | AA | 6,436,959 | 08/20/02 | CARSON ET AL | | | |
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FOREIGN PATENT DOCUMENTS

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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

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|----|----|---|
| CA | AW | Provisional Application Serial No. 60/068,794, filed December 24, 1997. |
| | AX | |
| | AY | |
| | AZ | |

☐ Additional References sheet(s) attached

Examiner

AULAKH

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.